

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : MEHMET KEMAL OZKAN, CHIA-YUAN TENG and  
EDWIN ARTURO HEREDIA

Serial No. : 09/622,388

Int'l Appln. No. : PCT/US99/03513

Filed : 18 February 1999

For : A MULTIMEDIA SYSTEM FOR ADAPTIVELY FORMING AND PROCESSING  
EXPANSIVE PROGRAM GUIDES

**ATTENTION: PCT RECEIVING OFFICE**

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

Hon. Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Sir:

In response to the NOTIFICATION OF MISSING REQUIREMENTS UNDER 35  
U.S.C. 371, and in response to Decision on Petition Under 37 CFR 1.47(a), mailed  
December 21, 2000, for which a due date of February 21, 2001 was set for response, relating  
to the above-identified application Serial No. 09/622,388, Applicants hereby submit the  
following:

- 1) An executed Declaration and Powers of Attorney with missing inventor's  
signature – 2 sided page.
- 2) Copy of Notification of Missing Requirements, form PCT/DO/EO/905.
- 3) Copy of letter entitled, "Renewed Petition Under 37 CFR 1.47(a).
- 4) Petition for Extension of Time under 37 CFR 1.136(a).

Please charge the following fees to Deposit Account No. 07-0832:

- 1) If a surcharge of \$130.00 has not been charged previously, then please  
charge this fee (required under 37 CFR 1.497(a) and (b)) for filing the  
Declaration and basic filing fee on a date later than the appropriate 20 or 30  
months from the priority date (37 CFR 1.492(e)).
- 2) A petition fee of \$110 for a one month Extension from February 21, 2001 to  
March 21, 2001.

Please charge any additional fees and credit any overpayments to Deposit Account  
No. 07-0832. A duplicate copy of this letter is enclosed for use in charging the deposit  
account.

Respectfully submitted,

  
Ronald H. Kurdyla  
Registration No. 26,932  
609/734-9701

THOMSON multimedia Licensing Inc.  
PO Box 5312  
Princeton, NJ 08543-5312  
DATE: March 19, 2001

09/622388



## UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

- Box PCT

- Box PCT

- Box PCT

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RCA 88914

 ENTERED SEP 17 2000  
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U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
JOSEPH S TRIPOLI PO BOX 5312 PRINCETON NJ 08540	5611	PCT/US99/03513
INTERNATIONAL APPLICATION NO.		

LA FILING DATE: 7/18/99 PRIORITY DATE: 02/20/98

DATE MAILED: 09/08/00

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):  
☒ U.S. Basic National Fee.  
☒ Copy of the international application in:  
☐ a non-English language.  
☒ English.  
☐ Translation of the international application into English.  
☐ Oath or Declaration of inventor(s) for DO/EO/US.  
☐ Copy of Article 19 amendments.  
☐ Translation of Article 19 amendments into English.  
☒ The International Preliminary Examination Report in English and its Annexes, if any.  
☐ Translation of Annexes to the International Preliminary Examination Report into English.  
☒ Preliminary amendment(s) filed Aug 16, 2000 and \_\_\_\_\_  
☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_  
☐ Assignment document.  
☐ Power of Attorney and/or Change of Address.  
☐ Substitute specification filed \_\_\_\_\_  
☐ Verified Statement Claiming Small Entity Status.  
☐ Priority Document.  
☒ Copy of the International Search Report ☒ and copies of the references cited therein.  
☐ Other:

2. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.  
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).  
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.  
☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.  
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

 3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entry ☐ small entry, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

 ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

 5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed:

☐ PCT/DO/EO/917 ☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Telephone: 703 308-9116

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : MEHMET KEMAL OZKAN, CHIA-YUAN TENG and  
EDWIN ARTURO HEREDIA

Serial No. : 09/622,388

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Filed : 18 February 1999

For : A MULTIMEDIA SYSTEM FOR ADAPTIVELY FORMING AND  
PROCESSING EXPANSIVE PROGRAM GUIDES

**ATTENTION: PCT LEGAL OFFICE**

**RENEWED PETITION UNDER 37 CFR 1.47(a)**

Hon. Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

**RECEIVED**

**21 MAR 2001**

**Legal Staff  
International Division**

Sir:

In response to the "DECISION ON PETITION UNDER 37 CFR 1.47(a),  
mailed December 21, 2000, for which a due date of February 21, 2001 was  
set for response, relating to the above-identified application Serial No.  
09/622,388, Applicants have found the missing inventor.

Mr. Mehmet Kemal Ozkan had communicated via electronic mail from  
Turkey to some former employees in Indianapolis earlier this year and  
through diligent effort on our part, we were able to obtain his correct E-mail  
address to communicate with him. Mr. Ozkan had apologized for any  
inconvenience he had caused and stated that he had moved quite often from  
one location to another and would continue to do so and, therefore, the only  
way to reach him would be through electronic mail.

Accompanying this letter is another Response to Notification of Missing  
Requirements, listing attachments and request for any additional fees to be  
charged to our deposit account 07-0832.

CERTIFICATE OF MAILING UNDER 37 CFR 1.10


I hereby certify that this Response to Notification of Missing Requirements is being deposited with the United States Postal Service via "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, Box PCT, Washington, D.C. 20231 on:

March 19, 2001  
Date of Deposit

Anelia F. Urban  
Anelia F. Urban  
(Name of Person mailing papers)

Therefore, Applicants, having located the missing inventor and obtained his signature on the "Combined Declaration for Patent Application and Power of Attorney" (attached), respectfully request reconsideration of the Decision on Petition and the acceptance of the above-identified application into the U.S. National Stage.

Respectfully submitted,



Ronald H. Kurdyla  
Registration No. 26,932  
609/734-9701

THOMSON multimedia Licensing Inc.  
PO Box 5312  
Princeton, NJ 08543-5312

DATE: March 19, 2001